

Set Up Fee	\$25	per account set up
Annual Account Fee*		
IRA – Traditional, Roth, SEP, SIMPLE	\$110	per year
All others – Individual K, EBP, HSA	\$150	per year
Annual Special Asset Fee*		
Public/Traded Security, Mutual Fund, Government Security	\$0	no charge
Non-traded REIT, non-traded Unit Trust, BDC	\$24	annual fee, per holding
LP, LLC, Private Stock, Debenture	\$36	annual fee, per holding
Private Promissory Note, Coin, Brokerage Account, Water Contract, Liability & Other Miscellaneous Asset	\$48	annual fee, per holding
Statement Fee		
Electronic (available quarterly)	\$0	no charge
Annual Statement	\$5	per year
Quarterly Statement	\$10	per year
Monthly Statement	\$25	per year
Purchase/Sale/Transfer/Distribution-in-Kind/Maturity/Corporate Action		
Public Asset	\$8	per transaction
Private Asset (Non-Note)	\$25	per transaction
Private Promissory Note	\$75	per transaction
Periodic Distributions		
ACH	\$0	no charge
Check	\$10	per check
Outgoing Cash Transfers (sent via check only)		
Partial Cash Transfer	\$15	per transfer
As part of Account Closing	\$10	per closing
Closing Fee		
Before 1 st Anniversary	\$250	per account
After 1 st Anniversary	\$150	per account
Other Fees		
Recharacterization / Conversion - Assets	\$50	per instance
Recharacterization / Conversion – Cash Only	\$25	per instance
Excess Contribution Removal	\$25	per instance
Overnight Delivery	\$25	per package
Note Payment – Non-Serviced	\$10	per principal payment
Bank Activity – Wire Transfer, Overdraft, Stop Pay, Return Check	\$10	per item
Statement and Tax Form Reprints	\$10	per item
990 T & K-1 Mailing	\$5	per mailing
Research/Special Services	\$50	per hour + \$25 reimbursement for mailing

* Account fees for accounts opened July 1, 2013 and after are incurred at time of set up and thereafter annually on the anniversary of the set up date. Account fees for accounts opened prior to July 1, 2013 are incurred annually in July. Neither account fees nor special asset fees are prorated. Special asset fees are incurred annually in July.

Invoices: Mainstar Trust will mail invoices quarterly only to accounts with fees due. Payment is due within thirty (30) days.

Other Service Fee Information: Please refer to the Section of your agreement entitled “Service Fees” for additional information regarding fees.

Please Note: Unfunded accounts and accounts with zero value continue to incur fees until written instruction to close the account is received and accepted by Mainstar Trust. However, Mainstar Trust may, in its sole discretion, close any account with an account balance valued less than \$250, in which case the account will incur the closing fee.